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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/665,156	09/18/2003	William Lin	07844-610001	6282
21876	7590	03/29/2006	EXAMINER	
FISH & RICHARDSON P.C. P.O. Box 1022 MINNEAPOLIS, MN 55440-1022			NGUYEN, MAIKHANH	
			ART UNIT	PAPER NUMBER
			2176	

DATE MAILED: 03/29/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/665,156

Applicant(s)

LIN, WILLIAM

Examiner

Maikhanh Nguyen

Art Unit

2176

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 18 September 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-30 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-30 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 18 September 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This action is responsive to communications: original application filed 09/18/2003; IDS filed 10/25/2004.
2. Claims 1-30 are currently pending in this application. Claims 1, 13, 16, and 28 are independent claims.

Information Disclosure Statement

3. The information disclosure statement filed 10/25/2004 fails to comply with 37 CFR 1.98(a)(2), which requires a legible copy of each cited foreign patent document; each non-patent literature publication or that portion which caused it to be listed; and all other information or that portion which caused it to be listed. It has been placed in the application file, but the information referred to therein has not been considered.

Specification

4. The disclosure is objected to because it contains an embedded hyperlink and/or other form of browser-executable code for example at page 2, lines 3-5 and page 7, lines 8-10. Applicant is required to delete the embedded hyperlink and/or other form of browser-executable code. See MPEP § 608.01.

Drawings

5. Figures 1 and 2 should be designated by a legend such as --Prior Art-- because only that which is old is illustrated. See MPEP § 608.02(g). Corrected drawings in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. The replacement sheet(s) should be labeled "Replacement Sheet" in the page header (as per 37 CFR 1.84(c)) so as not to obstruct any portion of the drawing figures. If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

Claim Objections

6. Claim 7 is objected to because of the following informalities: "rulfes" should read "rules". Appropriate correction is required.

Rejections - 35 USC § 102

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by

another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for the purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language; or " (Emphasis added.)

8. Claims 1-30 are rejected under 35 U.S.C. 102(e) as being anticipated by **Brown et al.**
(US 2004/0177315, filed 03/2002).

As to claim 1:

Jones teaches a computer-implemented method (*e.g., method*) for correcting (*e.g., changes/edit*) a structured electronic document (*e.g., a structure document/an XML document*) [see the Abstract], comprising:

- (i) identifying (*e.g., identifying*) a structured aspect (*e.g., elements*) of the structured electronic document (*e.g., an XML document*) that fails to conform (*e.g., conform*) to rules (*e.g., rules*) of a markup language format (*e.g., XML*), the rules being associated with the structured electronic document (*e.g., an XML bounding files ... a set of rules*) [see discussion beginning at paragraph 0050];
- (ii) suggesting (*e.g., provides*) one or more changes (*e.g., a set of editing actions /editing operations available*) to a user (*e.g., the user*) which would correct (*e.g., he can see and do with an XML element*) the identified structured aspect [see discussion beginning at paragraph 0051];
- (iii) receiving user input selecting a change of the suggested changes; and applying the selected change to the structured electronic document [*e.g., provides a set of editing actions that limit the user in what he can see and do with an XML element...the users should only be allowed to change some very small number of*

these elements. These restrictions are specified, according to the present invention, in an XML bounding file 330. Processing component 340 will therefore only allow the user to access this very small number of identified elements; see paragraphs 0051-0060].

As to claim 2:

Brown teaches identifying, among other things, mismatched structural aspect of the structured electronic document (*e.g., The ID attribute value of this servlet element does not match any of the attribute values; paragraph 0069*).

As to claim 3:

Brown teaches the rules include one or more rules stored separately from and referred to in the structured electronic document (*e.g., see the bounding file and rules discussion, beginning at paragraph 0058*).

As to claim 4:

Brown teaches the rules include one or more rules stored in the structured electronic document (*e.g., see the bounding file and rules discussion, beginning at paragraph 0058*).

As to claim 5:

Brown teaches the rules include one or more rules deduced from and referred to in the structured electronic document (*e.g., see the bounding file and rules discussion, beginning at paragraph 0058*).

As to claim 6:

Brown teaches the markup language format is an XML format (*e.g., a document encoded in the Extensible Markup Language, or "XML"; see Abstract*).

As to claim 7:

Brown teaches the rules include one or more rules defined in an XML schema (*e.g., a document encoded in the Extensible Markup Language, or "XML"; see Abstract & paragraph 0025*).

As to claim 8:

Brown teaches the rules include one or more rules defined in an XML DTD (*e.g., an XML DTD; paragraph 0027*).

As to claim 9:

Brown teaches suggesting a plurality of changes to the user in an order determined by preferred user preferences (*e.g., setting of user preferences or other enterprise-specific customization ... by editing an XML document; paragraph 0009*).

As to claim 10:

Brown teaches suggesting a plurality of changes to the user in an order determined by a calculated likelihood of correctness (*e.g., provides a set of editing actions that limit the user in what he can see and do with an XML element...the users should only be allowed to change some very small number of these elements. These restrictions are specified... only allow the user to access this very small number of identified elements; see paragraphs 0051-0060*).

As to claim 11:

Brown teaches requesting information from a user about the identified structural aspect (*e.g., enables application developers to use their domain-specific or application-specific knowledge to bound user actions when users are editing XML files*); and based on input received in response to the request, suggesting to the user one or more changes that would correct the identified structural aspect (*e.g., provides a set of editing actions that limit the user in what he can see and do with an XML element*) [see paragraphs 0049-0051].

As to claim 12:

It includes the same limitations as claim 1, and is similarly reject under the same rationale. Additionally, Brown teaches bringing the entire structured electronic document into conformance with the rules (*e.g., a DTD defines allowable syntax, and documents may then be written that adhere or conform to this syntax or language; paragraphs 0056 & 0058*).

As to claim 13:

The rejection of claim 1 above is incorporated herein in full. Additionally, Brown teaches recursively validating a parent element of the markup language document by: (i) validating attributes of the parent element; (ii) validating a content model of the parent element; and (iii) recursively validating one or more children of the parent element [e.g., *A Document Type Definition ("DTD") defines the structure of a document encoded in SGML, or in its derivatives such as XML... to other structured markup languages that use DTDs or similar mechanisms for validation... An XML parser processes an XML*

DTD along with a document encoded in XML to validate the document (e.g., to ensure that the document adheres to the data model defined by the DTD); paragraph 0007].

As to claim 16:

It is directed to a computer program product for implementing the method of claim 1, and is similarly rejected under the same rationale.

As to claims 17-27:

They include the same limitations as in claims 2-12, respectively, and are similarly rejected under the same rationale.

As to claim 28:

It is directed to a computer program product for implementing the method of claim 13, and is similarly rejected under the same rationale.

As to claim 29:

It includes the same limitations as in claim 6, and is similarly rejected under the same rationale.

As to claim 30:

Brown teaches checking a root element against a DOCTYPE root tag specified in the rules associated with the XML document; and allowing a user to retag the root element using the DOCTYPE root tag (*paragraphs 0063, 0067-0068, 0072 and 0074*).

Conclusion

9. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

- Huang et al. U.S. Patent No. 6,895,551 Issued: May 17, 2005
- Hori et al. U.S. Patent No. 6,950,984 Issued: Sep. 27, 2005
- Huang U.S. Publication 2001/0032218 A1 Pub. Date: Oct. 18, 2001
- Jones et al. U.S. Publication 2003/0237048 A1 Pub. Date: Dec. 25, 2003
- Brown et al. U.S. Publication 2004/0177321 A1 Pub. Date: Sep. 9, 2004
- Paoli et al. U.S. Publication 2004/0268229 A1 Pub. Date: Dec. 30, 2004
- Tyng-Ruey Chang, "Generic Validation of Structure Content with Parametric Modules," ICFP'01, Sep. 3-5, 2001, Florence, Italy, Copyright 2001 ACM 1-58113-415-0/01/0009, pp. 98-109.
- Ya Bing Chen et al., "Designing Valid XML Views," ER 2002, LNCS 2503, Copyright Springer-Verlag Berlin Heidelberg 2002, pp. 463-477.
- Mark Nelson, "Validation with MSXML and XML Schema," Windows Developer Magazine, Jan. 2002, pp. 35-38.
- Yi Chen et al., "A Constraint Validator For XML," CIKM'02, Nov. 49, 2002, Copyright 2002 ACM 1-58113-492-4/02/0011, pp. 446-452.

Contact information

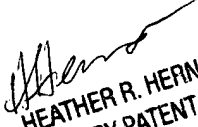
10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Maikhanh Nguyen whose telephone number is (571) 272-4093. The examiner can normally be reached on Monday - Friday from 9:00am – 5:30

pm. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Heather Herndon can be reached at (571) 272-4136.

The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

MN


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